

Defined Contribution

Fee Comparison Report

Report Prepared For: Joe Sponsor

Prepared By: Fred Advisor

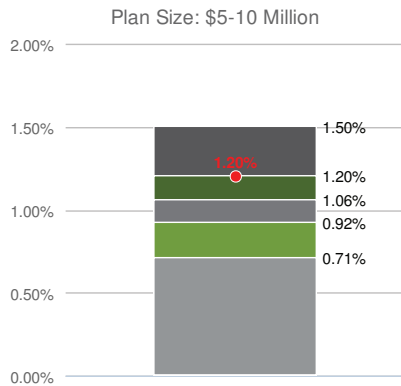
Plan Size: \$5-10 Million

Industry: Manufacturing

Phone: (123) 456-7890

Email: fred.advisor@yourfirm.com

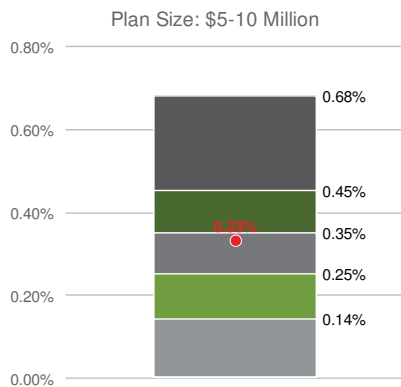
1. What is your Total Plan Cost (in basis points)?



- Your Answer
- 5th Percentile
- 25th Percentile
- 50th Percentile
- 75th Percentile
- 95th Percentile

The total cost of the plan includes all fees paid by the plan, the participant and/or the plan sponsor. This includes: fees from underlying investments, explicit fees on top of investment fees, other fees collected by plan service providers, fees for ancillary participant services (including managed account and SDA account services), and fee "treatments" (e.g., flow of revenue sharing for participant credit, and utilization of ERISA spending account credit).

2. What is your Total Recordkeeper Cost (in basis points)?



- Your Answer
- 5th Percentile
- 25th Percentile
- 50th Percentile
- 75th Percentile
- 95th Percentile

The amount of money collected by the recordkeeper for delivery of services from all sources (e.g., wrap fees, revenue sharing and fees charged to the plan sponsor).

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3. What is your Total Money Manager Cost (in basis points)?



The amount of the total expense ratio paid to the money manager on a net basis after all revenue shares and other fund expenses are debited from the investment options expense ratio (Total Expense Ratio – Revenue Sharing - Fund Operating Costs). The total money manager cost comparison in this tool is an aggregate average of the database by plan size and may include all types of investment options.

4. What is your Total Advisor/Consultant Cost (in basis points)?



This represents the total compensation paid to the advisor or consultant for a plan of this size regardless of source (i.e., investments or plan sponsor).

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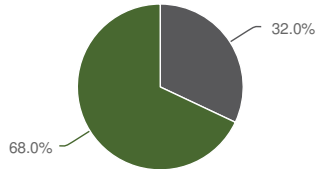
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5. Do you use an ERISA spending account?

Plan Size: \$5-10 Million



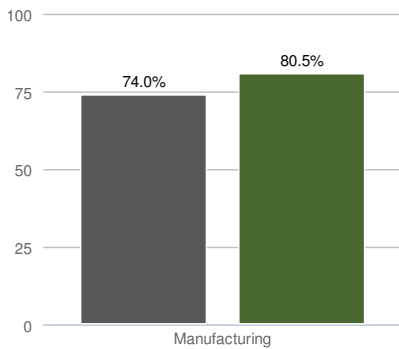
No

Yes

ERISA Spending Accounts are defined as plans whose bundled recordkeeper has returned monies collected in excess of revenue needs to the plan for use on plan-related expenses and plans where all plan-generated revenue is credited to the trust, from which service provider fees are paid.

6. Plan's Current Participation Rate (%)

Industry: Manufacturing



Your Answer

Manufacturing

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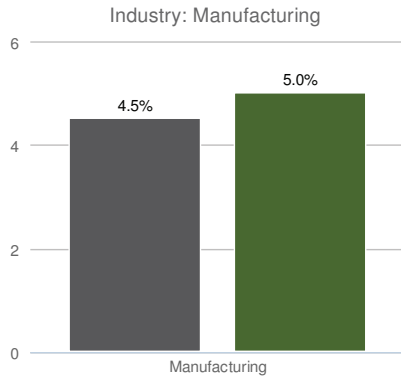
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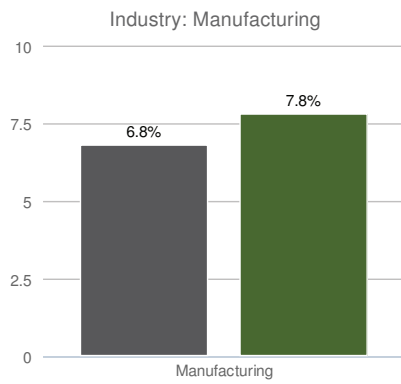
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7. Average deferral rate for non-Highly Compensated Employees (%)



■ Your Answer
■ Manufacturing

8. Average deferral rate for Highly Compensated Employees (%)



■ Your Answer
■ Manufacturing

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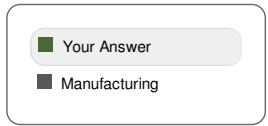
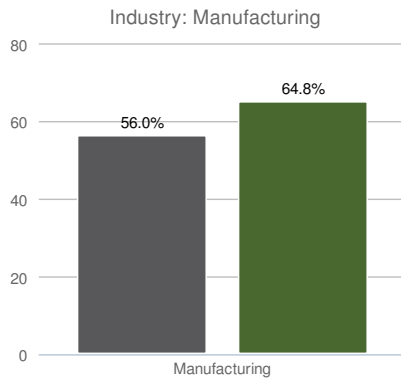
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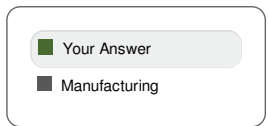
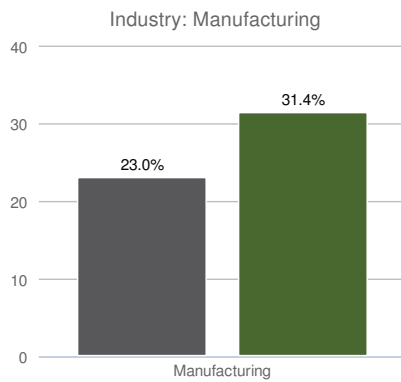
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9. Percent of participants maximizing company match



10. Percent of plan assets in automatically diversified options*



*Includes balanced funds, risk- or target-based retirement choices, model portfolios and managed accounts.

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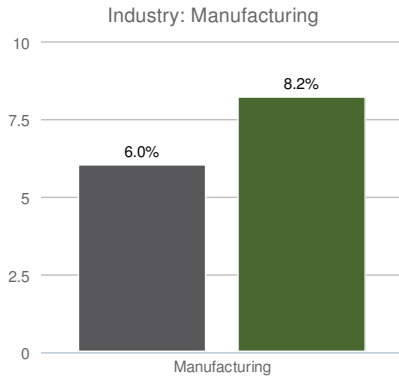
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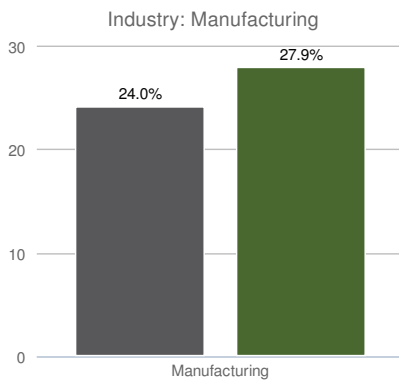
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11. Percent of eligible participants making catch-up contributions



■ Your Answer
■ Manufacturing

12. Percent of participants with a personal retirement goal



■ Your Answer
■ Manufacturing

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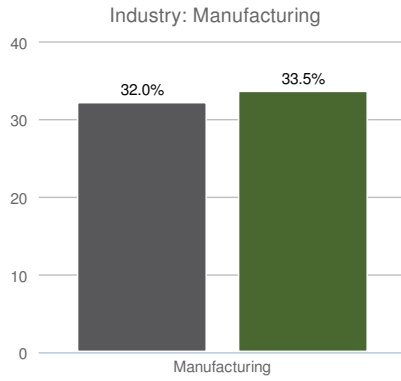
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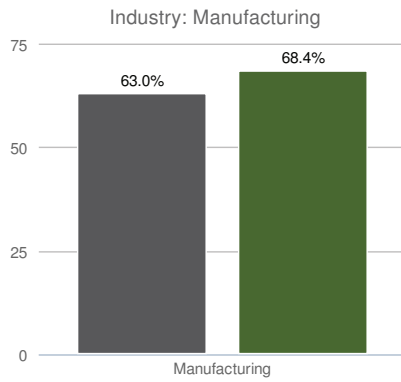
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13. Percent of participants on track to achieve that goal



■ Your Answer
■ Manufacturing

14. Percent of terminated participants not 'cashing out'



■ Your Answer
■ Manufacturing

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Advisor Comments

Comments and suggestions can go here for your clients.



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